

# SVM FUNDS ICVC ISA AND DIRECT INVESTMENT INTERNET APPLICATION FORM



Please complete this form in BLOCK CAPITALS and return it to your financial adviser or send it to **SVM Asset Management Limited, Block C, Western House, Peterborough Business Park, Lynchwood, Peterborough PE2 6BP**. If you have any queries about the completion of this form please consult your financial adviser, or telephone 0845 358 1108. Alternatively you can visit our website at [www.svmonline.co.uk](http://www.svmonline.co.uk)

## Personal details – all applicants

<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>		<input type="text"/>
Date of birth*		Existing customer reference number (if any)
<input type="text"/>	<input type="text"/>	<input type="text"/>
National Insurance number*		Daytime telephone number
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="checkbox"/>	If you do not have a National Insurance number, please tick this box	
Permanent residential address		
<input type="text"/>		
<input type="text"/>		
Postcode		
<input type="text"/>		
Email address		
<input type="text"/>		
<small>Email for monthly fund updates. (For security, we do not send your individual account details by email.)</small>		
<small>*Important details required by the Inland Revenue. You should be able to find your National Insurance number on a payslip, forms P45 or P60, a letter from the Inland Revenue, a letter from the DSS, or a pension order book.</small>		

## Personal details – for direct investment into the SVM Funds ICVC only

Please only complete the following if your direct investment into the SVM Funds ICVC is to be held in joint names. Date of birth\*\*

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

If the address of the joint holders is different to that of the first holder, then we need to be advised on a separate sheet to be sent with this form.

\*\*Please note the investor must be over 18 years of age. If an investment is being made directly on behalf of a child then you can indicate this by inserting the child's initials in the designation box.

## Beneficial ownership (for Direct Investment)

Please tick this box to confirm you are the beneficial owner of the funds and investments being made under this application

If you are not the beneficial owner please provide details of:

<input type="text"/>	<small>If there is more than one beneficial owner then please provide names and addresses of all beneficial owners on a separate sheet.</small>
<input type="text"/>	
<input type="text"/>	
Postcode	

**IMPORTANT: You must read and complete the declaration and signature box(es) overleaf before returning this form**

# INTERNET APPLICATION FORM



## Investment Choice – please tick only one

I would like to make a direct investment into the Fund(s).  **SVM Funds ICVC (no maximum)**

OR

I would like to open an SVM ISA  **Shares ISA (maximum £10,200)**

Tax year

2 0 1 / 2 0 1

If you also invested in a cash ISA this year then that amount must be deducted from the £10,200 you are permitted to invest in a Shares ISA

I apply to subscribe for an SVM ISA for the tax year shown, and each subsequent year until further notice. (For monthly savings investors only)

## Investment details

Funds	Lump Sum	Monthly
SVM All Europe SRI Fund	£	£
SVM Cautious Managed Fund	£	£
SVM Continental Europe Fund	£	£
SVM Global Opportunities Fund	£	£
SVM UK Absolute Alpha Fund	£	£
SVM UK Growth Fund	£	£
SVM UK Opportunities Fund	£	£
SVM World Equity Fund	£	£
Total	£	£

Please indicate the Fund(s) you wish to invest in and the method you wish to use, together with the amount.

**Lump Sum:** The minimum investment is a total of £1,000 that can be invested into any of the funds, with a minimum of £200 invested in the Fund(s) selected.

**Monthly:** The minimum investment is £100 per month with a minimum investment of £50 per fund. The maximum monthly investment for ISAs is £850 per month (ISA only) and unlimited directly into the ICVC. Please complete the Direct Debit section below. **Your first payment must be made by a personal cheque.** Sterling cheques should be payable to 'SVM Asset Management Ltd'.

## Financial adviser details

Intermediary's stamp

(To be completed by the Intermediary if appropriate. By placing your details here and by placing business with us, you are confirming that you have received, read, understood and are bound by our terms of business.)

FSA number

Discount

Commission payable %

# INTERNET APPLICATION FORM



## Declaration

### For All Investment Types

- **I declare** that I am over 18 years of age and that this application form has been completed to the best of my knowledge and belief.
- **I understand** that you may require additional information from me in accordance with the money laundering regulations.
- **I have read, understood, and agree to be bound by the Simplified Prospectus and Terms and Conditions (available on our website or on request from SVM as noted below).**
- **I agree** to inform you of any changes in my circumstances.
- **I enclose a cheque made payable to 'SVM Asset Management Ltd'** and I can confirm that unless I have indicated otherwise on this form, I am the beneficial owner of these funds and investments.
- **I understand and agree** that information about me may be obtained from credit reference and fraud prevention agencies to verify my identity.

### ISA Only

I wish to subscribe the total amount detailed overleaf for this tax year to the SVM OEIC ISA. In respect of regular savings, I wish to subscribe to the SVM OEIC ISA, under Direct Debit, the amount set out overleaf, or the maximum permitted subscription, whichever is the lesser, for this tax year and for each subsequent tax year until further notice.

- **I am resident and ordinarily resident in the UK** for tax purposes or, if not so resident, either performing duties which, by virtue of Section 132(4) of the Income and Corporation Taxes Act 1988 (Crown employees serving overseas) are treated as being performed in the UK or I am married to, or in a civil partnership with, a person who performs such duties, I will inform SVM Asset Management if I cease to be so resident and ordinarily resident or to perform such duties, or be married to, or in a civil partnership with, a person who performs such duties.
- **I authorise** SVM Asset Management to: (1) hold my cash subscriptions, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments; (2) make on my behalf, any claims to relief from tax in respect of my ISA investments; and (3) on my written request to transfer or pay to me, the ISA investments, interest, dividends and any other, rights or proceeds in respect of such investments, or any cash held in the ISA.
- **I have not** subscribed and will not subscribe more than £10,200 in total to a cash ISA and a shares ISA in the same tax year.
- **I have not** subscribed and will not subscribe to another shares ISA in the same tax year that I subscribe to this shares ISA.

<b>Signature</b>	<b>Date</b>
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### ICVC only

Please complete the signature and date boxes below if you are investing directly into the ICVC in joint names.

<b>Signature</b>	<b>Date</b>
<b>Signature</b>	<b>Date</b>
<b>Signature</b>	<b>Date</b>

**Important Note:** In order to comply with the Money Laundering Regulations, please supply either:

- a personal cheque which matches the name on the application form; or
- a Bank/Building Society cheque endorsed with your name to show that you are the account holder.

A cheque drawn on an intermediary's account must be sent with a certificate from that person verifying your identity. If a cheque from a third party is supplied, the Administrator may request documentation to verify your identity.

The most recent Report & Accounts, Factsheets, Simplified Prospectus and Full Prospectus for the Funds are available at [www.svmonline.co.uk](http://www.svmonline.co.uk).

If you would prefer to receive a printed copy please call our Fund Information line on 0131 226 6699 or write to the Marketing Department, SVM Asset Management, 7 Castle Street, Edinburgh EH2 3AH.

**The completed application form, together with your cheque, payable to SVM Asset Management Ltd, should be returned to: SVM Asset Management, Block C, Western House, Peterborough Business Park, Lynchwood, Peterborough PE2 6BP.**

If you are investing monthly please note that a personal cheque is required for your first payment. Your application cannot be processed without it.

# INTERNET APPLICATION FORM



## Direct Debit Slip

Please fill in the whole form and send it to the address opposite:

SVM Asset Management Limited  
Block C, Western House  
Peterborough Business Park  
Lynchwood  
Peterborough PE2 6BP



Instruction to your Bank or Building Society to pay by Direct Debit

To (Bank or Building Society)

Address

Postcode

Account name

Account number

Branch sort code

Originator's Identification No.

8 0 9 9 4 2



Reference No. (for office use)

Please note: This instruction may only be used in respect of a Sterling account held with a UK Bank or Building Society. Banks and Building Societies may not accept Direct Debit instructions for some types of account.

Please pay SVM Asset Management Limited Direct Debits from the account detailed on this instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with SVM Asset Management and details will be passed electronically to my Bank/Building Society.

Signature

Date

## Direct Debit Guarantee

- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits.
- If there are any changes to the amount, date or frequency of your Direct Debit (SVM Asset Management Ltd) will notify you (insert number of) working days in advance of your account being debited or as otherwise agreed. If you request (SVM Asset Management) to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit, by (SVM Asset Management) or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society.  
– If you receive a refund you are not entitled to, you must pay it back when (SVM Asset Management Ltd) asks you to.
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.



**This guarantee should be detached and retained by you.**